## SEC Form 4

 $\square$ 

(Last)

(Street)

(City)

MAUMEE

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

1. Name and Address of Reporting Person\*

3939 TECHNOLOGY DRIVE

(First)

OH

(State)

(Middle)

43537

(Zip)

Liedberg Douglas H

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursua or Se

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average t	ourden								

pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per respo	nse:	0.5	
2. Issuer Name <b>and</b> Ticker or Trading Symbol DANA INC [ DAN ]		tionship of Rep all applicable) Director		(s) to Issuer		
3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give below)	title	Other (specif	fy	
03/22/2019		,	ral Counsel	,		

SVP, General Counsel and Secty

6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person

> Form filed by More than One Reporting Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	,	(Instr. 4)	
Common Stock, par value \$0.01	03/23/2019		М		7,585	A	\$16.6	10,731	D		
Common Stock, par value \$0.01	03/23/2019		М		378	A	\$16.6	11,109	D		
Common Stock, par value \$0.01	03/23/2019		F		2,338(1)	D	\$1 <mark>6.6</mark>	8,771	D		

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Disp of (D	osed ) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		e Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Dividend Equivalent Rights	(2)	03/22/2019		Α		328		(2)	(2)	Common Stock, par value \$0.01	328	\$0.0000	1,314	D	
Dividend Equivalent Rights	(3)	03/23/2019		М			378	(3)	(3)	Common Stock, par value \$0.01	378	\$0.0000	936	D	
Restricted Stock Units	(4)	03/23/2019		М			7,585	03/23/2019 <sup>(5)</sup>	(5)	Common Stock, par value \$0.01	7,585	\$0.0000	0.0000	D	

#### Explanation of Responses:

1. Represents the total number of shares of common stock withheld for the Reporting Person's tax obligation.

2. Dividend equivalent rights accrued on previously granted restricted stock units and become exercisable proportionately with the restricted stock units to which they relate. Each dividend equivalent right is the economic equivalent of one share of Dana common stock.

3. Dividend equivalent rights accrued on previously granted restricted stock units and become exercisable proportionately with the restricted stock units to which they relate, which have vested.

4. Each restricted stock unit granted represents the right to receive one share of Dana common stock or, at the election of Dana, cash equal to the market value per share. Each restricted stock unit contains

dividend equivalent rights.

5. Restricted stock units granted cliff vest three (3) years from date of grant.

/s/ Robert W. Spencer, Jr. on behalf of Douglas H. Liedberg

03/26/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.