FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| ington, D.C. 20549 | OMB APPROVAL |
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| | OMB Number: | 3235-0287 |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | UI Ject | 1011 30(11) | or tire | : investment C | Julip | Jany Act C | JI 1940 | | | | | | | |
|--|---|--|---|-----------------|--|--|----------------|--|-------------------------|--|--|--|---|--|----------------|--|---------------------------------------|--|
| 1. Name and Address of Reporting Person* <u>Wallace Mark E</u> | | | | | Name ar A INC | | ker or Trading | g Syn | mbol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | | |
| | | | | | | | | | | | | Directo | | | 10% Ov | | | |
| (Loot) | (5) | rot) | (Middle) | 3 | 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | | \neg | X Officer below? | (give title | | Other (s below) | pecify | |
| (Last) (First) (Middle) | | | | | 02/15/2017 | | | | | | | | EVP & Pres-On Hwy Technologies | | | | | |
| 3939 TE | CHNOLOG | Y DRIVE | | | | | | | | | | | | | | | | |
| | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | | _ | | | | | | | | | | Lin | , | | _ | | | |
| MAUMI | EE O | Н | 43537 | | | | | | | | | | | , | • | orting Persor | | |
| (City) | (S | tate) | (Zip) | | | | | | | | | | Perso | | re than | One Repor | ting | |
| | | | | | | | | | | | | | | | | | | |
| | | Tal | ole I - Non-I | Derivati | ve Se | curitie | s Ac | quired, D | ispo | osed of | f, or Ber | neficial | ly Owned | l | | | | |
| Date | | | . Transacti Date Month/Day | Execution Date, | | Code (Instr. 5) | | | d (A) or r. 3, 4 and | or 5. Amount of Securities Beneficially Owned Follow | | Form (D) or | n: Direct r Indirect | 7. Nature of Indirect Beneficial Ownership | | | | |
| | | | | | | (WOTHIT/Day/Teal | | Code V | | Amount (A) or | | Price | Reporte Transac | d tion(s) | (,, (| | (Instr. 4) | |
| | | | | | | | Code | | Amount (D) | | 11100 | (Instr. 3 | r. 3 and 4) | | | | | |
| | | | Table II - De | | | | | | | | | | Owned | | | | | |
| | | | (e. | .g., puts | s, call | s, warr | ants | s, options, | CO | nvertib | le secu | rities) | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | Code | action (Instr. | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable Expiration Date (Month/Day/Year) | | le and | nd 7. Title and Am of Securities Underlying Derivative Secu (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Numbe derivative Securitie: Beneficia Owned Following Reported Transacti (Instr. 4) | e s Illy | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exercisable | | xpiration ate | Title | Amount or Number of Shares | | | | | | |
| Restricted Stock Units ⁽¹⁾ | (2) | 02/15/2017 | | A | | 34,135 | | 02/15/2020 ⁽³⁾ | | (3) | Common Stock, par value \$0.01 | 34,135 | \$0.0000 | 34,13 | 5 | D | | |

Explanation of Responses:

- 1. Restricted stock units granted pursuant to the Dana Holding Corporation 2012 Omnibus Incentive Plan.
- 2. Each restricted stock unit granted represents the right to receive one share of Dana common stock or, at the election of Dana, cash equal to the market value per share. Each restricted stock unit contains dividend equivalent rights.
- 3. Restricted stock units cliff vest three (3) years from the date of grant. Reporting person may elect to surrender a portion of their unvested grant to fulfill any tax obligation related to such grant.

/s/ Robert W. Spencer, Jr. on behalf of Mark E. Wallace

02/17/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.