SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

	ess of Reporting Perso	on*	2. Issuer Name and Ticker or Trading Symbol DANA INC [DAN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Kamsickas James Kevin</u>				X	Director	10% Owner			
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/11/2018	X	Officer (give title below) President &	Other (specify below) CEO			
(Street) MAUMEE	ОН	43537	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo				
,					Form filed by More than	ő			
(City)	(State)	(Zip)			Person	. 0			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Code V Amount (A) or Price		Transaction(s) (Instr. 3 and 4)		(1130.4)						
Common Stock, par value \$0.01	08/11/2018		М		98,061	A	\$19.94	182,034	D		
Common Stock, par value \$0.01	08/11/2018		М		87,763	A	\$19.94	269,797	D		
Common Stock, par value \$0.01	08/11/2018		М		4,331	A	\$19.94	274,128	D		
Common Stock, par value \$0.01	08/11/2018		F		39,055 ⁽¹⁾	D	\$19.94	235,073	D		
Common Stock, par value \$0.01	08/11/2018		F		45,565 ⁽¹⁾	D	\$19.94	189,508	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, ontions, convertible securities)

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Dividend Equivalent Rights	(2)	08/11/2018		М			4,331	(2)	(2)	Common Stock, par value \$0.01	4,331	\$0.0000	8,782	D	
Restricted Stock Units ⁽³⁾	(4)	08/11/2018		A		87,763		08/11/2018 ⁽⁵⁾	(5)	Common Stock, par value \$0.01	87,763	\$0.0000	185,824	D	
Restricted Stock Units	(4)	08/11/2018		М			87,763	08/11/2018 ⁽⁵⁾	(5)	Common Stock, par value \$0.01	87,763	\$0.0000	98,061	D	
Restricted Stock Units	(4)	08/11/2018		М			98,061	08/11/2018 ⁽⁶⁾	(6)	Common Stock, par value \$0.01	98,061	\$0.0000	0.0000	D	

Explanation of Responses:

1. Represents the total number of shares of common stock withheld for the Reporting Person's tax obligation.

2. Dividend equivalent rights accrued on previously granted restricted stock units and become exercisable proportionately with the restricted stock units to which have vested.

3. Restricted stock units granted pursuant to the Dana Incorporated 2017 Omnibus Incentive Plan.

4. Each restricted stock unit granted represents the right to receive one share of Dana common stock or, at the election of Dana, cash equal to the market value per share. Each restricted stock unit contains dividend equivalent rights.

5. As previously disclosed in 2015, these restricted stock units are immediately vested and were granted as an element of reporting person's compensation when he joined Dana.

6. Restricted stock units granted cliff vest three (3) years from date of grant.

/s/ Robert W. Spencer, Jr. on

behalf of James K. Kamsickas ** Signature of Reporting Person

Date

08/14/2018

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.